

ALS-linked TDP-43 mutations produce aberrant RNA splicing and adult-onset motor neuron disease without aggregation or loss of nuclear TDP-43

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Transactivating response region DNA binding protein (TDP-43) is the major protein component of ubiquitinated inclusions found in amyotrophic lateral sclerosis (ALS) and frontotemporal lobar degeneration (FTLD) with ubiquitinated inclusions. Two ALS-causing mutants (TDP-43^{Q331K} and TDP-43^{M337V}), but not wild-type human TDP-43, are shown here to provoke age-dependent, mutant-dependent, progressive motor axon degeneration and motor neuron death when expressed in mice at levels and in a cell type-selective pattern similar to endogenous TDP-43. Mutant TDP-43-dependent degeneration of lower motor neurons occurs without: (i) loss of TDP-43 from the corresponding nuclei, (ii) accumulation of TDP-43 aggregates, and (iii) accumulation of insoluble TDP-43. Computational analysis using splicing-sensitive microarrays demonstrates alterations of endogenous TDP-43-dependent alternative splicing events conferred by both human wild-type and mutant TDP-43^{Q331K}, but with high levels of mutant TDP-43 preferentially enhancing exon exclusion of some target pre-mRNAs affecting genes involved in neurological transmission and function. Comparison with splicing alterations following TDP-43 depletion demonstrates that TDP-43^{Q331K} enhances normal TDP-43 splicing function for some RNA targets but loss-of-function for others. Thus, adult-onset motor neuron disease does not require aggregation or loss of nuclear TDP-43, with ALS-linked mutants producing loss and gain of splicing function of selected RNA targets at an early disease stage.

neurodegeneration | RNA binding proteins | frontotemporal dementia

Amyotrophic lateral sclerosis (ALS) and frontotemporal lobar degeneration with ubiquitinated inclusions (FTLD-U) are progressive, adult-onset neurodegenerative diseases with overlapping clinical and pathological features (1–3). ALS is characterized by the selective loss of upper and lower motor neurons, leading to progressive fatal paralysis and muscle atrophy. A large majority (~90%) of ALS and FTLD-U cases are without a known genetic cause. Importantly, in these sporadic cases, the appearance of ubiquitinated inclusions within the affected neurons of the nervous system characterizes both ALS and FTLD-U patients, suggesting an overlapping mechanism underlying both diseases. Biochemical characterization of brains and spinal cords from ALS and FTLD-U patients identified transactivating response region (TAR) DNA binding protein (TDP-43) as the major protein component of these ubiquitinated inclusions (4, 5). The discovery of ALS-linked mutations in the glycine-rich C-terminal domain of TDP-43 (6–8) demonstrated a pathological role of TDP-43 in both diseases. The subsequent identification of mutations in a structurally and functionally related nucleic acid binding protein, FUS/TLS (fused in sarcoma/translocated in liposarcoma) (9, 10), further implicated defects in RNA processing in ALS pathogenesis.

TDP-43 is a multifunctional nucleic acid binding protein. Within the nervous system, TDP-43 binds to >6,000 pre-mRNAs and affects the levels of ~600 mRNAs and the splicing patterns of another 950 (11). Structurally, the 414-aa protein consists of two RNA recognition motifs (RRM1 and RRM2) (12, 13), nuclear import and export signal (14), and a glycine-rich region implicated in protein–protein interactions (15, 16) that include components of the RNA splicing machinery (17, 18).

Disruption in mice of the highly conserved *Tardbp* gene is embryonically lethal (19–22). Similarly, postnatal inactivation of *Tardbp* (by Cre recombinase-mediated gene excision encoded by a ubiquitously-expressed CAG-Cre transgene) results in rapid postnatal death accompanied by defects in fat metabolism (22). TDP-43 autoregulates its own RNA level (11, 23) at least in part by stimulating excision of an intron in its 3' untranslated region, thereby making the spliced RNA a substrate for nonsense-mediated RNA degradation (11). Furthermore, transgenic rodent models have been used to demonstrate that overriding the autoregulatory mechanism by overexpression of unregulated wild-type (24–28) or disease-linked mutant (26, 28–35) TDP-43 transgenes can produce neurodegeneration in mice.

ALS and FTLD-U patient brain and spinal cord samples are characterized by the accumulation of cytoplasmic TDP-43 aggregates accompanied by a distinct clearing of nuclear TDP-43 within affected neurons and glia (36, 37), implicating possible loss of nuclear TDP-43 function in disease pathogenesis. In human

Significance

Mutations in the RNA binding protein TDP-43 cause amyotrophic lateral sclerosis and frontotemporal dementia. Through expressing disease-causing mutants in mice and genome-wide RNA splicing analyses, mutant TDP-43 is shown to retain normal or enhanced activity for facilitating splicing of some RNA targets, but “loss-of-function” for others. These splicing changes, as well as age-dependent, mutant-dependent lower motor neuron disease, occur without loss of nuclear TDP-43 or accumulation of insoluble aggregates of TDP-43.

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disease, TDP-43 has been reported to be abnormally phosphorylated, ubiquitinated, and cleaved to produce C-terminal fragments (4, 5, 38, 39). Ectopic expression of these C-terminal fragments in cell-culture models (40–42) has shown that they are aggregation-prone and confer an intrinsic toxicity. However, the extent of the contribution of these C-terminal fragments to disease pathogenesis is undetermined. Indeed, double-immunofluorescent labeling of ALS patient spinal cords using N-terminal-specific and C-terminal-specific antibodies suggests that inclusions in spinal cord motor neurons are comprised primarily of full-length TDP-43 (37). Importantly, retention of ability to bind RNA by full-length TDP-43 has been demonstrated to be required for toxicity in yeast, fly, and *Caenorhabditis elegans* models (43–46).

Nevertheless, it remains unresolved whether toxicity to motor neurons from mutations in TDP-43 is mediated through a gain of toxic property, loss-of-function, or a combination of both. By generation of transgenic mice encoding levels of wild-type or mutant human TDP-43 comparable to endogenous TDP-43, we demonstrate mutant-dependent, age-dependent motor neuron disease from ALS-linked TDP-43 mutants in the absence of overexpression, cytoplasmic accumulation of a 35 kDa TDP-43 fragment, or insoluble TDP-43 aggregates. Accompanying autoregulation-mediated reduction of endogenous wild-type TDP-43 are splicing alterations previously identified to be TDP-43-dependent (11). Additional splicing alterations are identified by systematic genome-wide analyses of alternative splicing that are indicative of both enhancement and loss-of-function by the TDP-43 mutants for individual RNA substrates, from which we conclude that ALS-linked mutations confer both loss- and gain-of-function properties to TDP-43, and that these act intranuclearly to induce splicing alterations that may underlie age-dependent motor neuron disease.

Results

Establishment of TDP-43 Transgenic Mice Expressing Wild-Type and Mutant TDP-43 Broadly in the Central Nervous System. Transgenic mice were produced that express either wild-type or ALS-linked mutant TDP-43 broadly throughout the central nervous system,

using the murine prion-promoter (47) previously reported to drive transgene expression most abundantly in the central nervous system, both in neurons and astrocytes (48). cDNAs encoding wild-type or either of two ALS-linked mutants of TDP-43 (6) [Q331K (glutamine to lysine substitution at amino acid position 331) and M337V (methionine to valine substitution at amino acid position 337)] were fused to an N-terminal myc-tag under control of the murine prion promoter (Fig. 1A). From >20 founders for each gene, three lines were selected and established for TDP-43^{Wild-Type}, TDP-43^{Q331K}, and TDP-43^{M337V}, respectively.

Analysis of whole-tissue lysates by immunoblotting with a polyclonal anti-TDP-43 antibody recognizing recombinant mouse and human TDP-43 protein with equal affinity confirmed that transgene expression was confined primarily to the brain and spinal cord, with very low to no expression in some other tissues (Fig. 1B). Immunoblotting of protein extracts or real-time quantitative RT-PCR (RT-qPCR) of RNAs, respectively, from whole brain identified levels of transgene expression for each of the nine lines (Fig. S1). Analysis of cortex and spinal cord identified lines expressing comparable levels of human TDP-43 mRNA, ranging from 1× to 1.5× the level of endogenous TDP-43 in nontransgenic mice, which was recapitulated in the accumulated protein levels from whole brain and spinal cord lysates (Fig. 1C and D, and Fig. S14). Immunofluorescent staining with myc antibody to detect human TDP-43 showed that it accumulated in neurons and glia of the spinal cord (Fig. 1E) in a pattern that mimicked the endogenous expression pattern of TDP-43.

Mice Expressing Mutant Human TDP-43 Develop Adult-Onset Motor Deficits and Hindlimb Weakness. Four lines of mice were selected in which wild-type TDP-43, TDP-43^{M337V}, or TDP-43^{Q331K} were accumulated to levels comparable to endogenous TDP-43 in nontransgenic mice, as well as one line with a lower level (referred TDP-43^{Q331K-low}). At birth, mice expressing wild-type human TDP-43 or either TDP-43 mutant were indistinguishable from their nontransgenic littermates. However, in contrast to their nontransgenic littermates or TDP-43^{Wild-Type} mice, TDP-43^{Q331K} transgenic mice developed a tremor at as early as 3 mo of age (Fig. S24). This

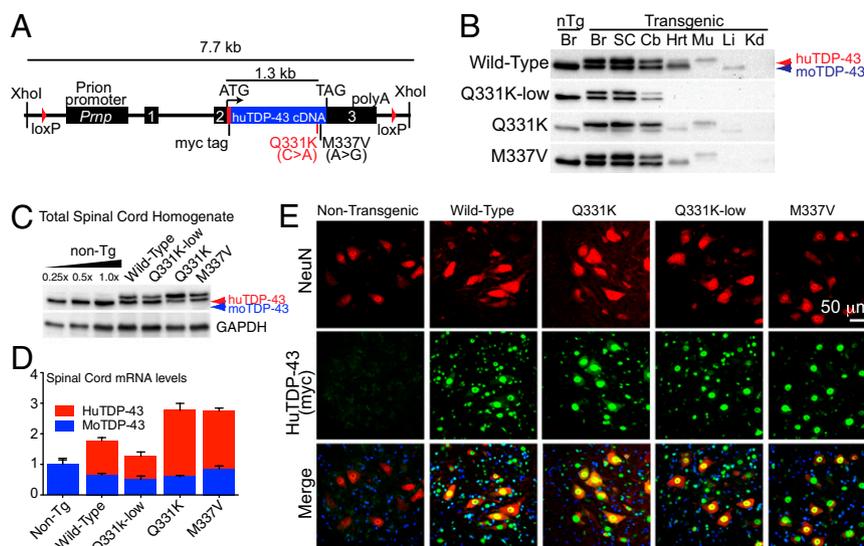


Fig. 1. Generation of multiple lines of transgenic mice expressing wild-type, Q331K, or M337V-mutant human TDP-43. (A) Schematic of the PrP-TDP-43 transgene. (B) Immunoblotting using an antibody that recognizes both mouse and human TDP-43 with equal affinity in whole-organ lysates from transgenic animals. Br, brain; Cb, cerebellum; SC, spinal cord; Hrt, heart; Mu, skeletal muscle; Liv, liver; Kid, kidney. Equal protein amounts were loaded per lane. (C) Immunoblotting of whole spinal cord lysates from transgenic mice with the mouse/human TDP-43 antibody. (D) Accumulated transgene-encoded mRNA levels of in spinal cords of PrP-TDP-43 mice. (E) Representative single-plane confocal images of the ventral horn of lumbar-level spinal cord from 2-mo-old animals using a rabbit polyclonal antibody (Sigma C3956) to the myc tag on the transgene encoded protein and costained for the neuronal marker NeuN.

tremor worsened with aging (Movie S1) and was accompanied by the development of hindlimb claspings indicative of spastic motor impairment (27, 49) (Fig. S2B).

Motor performance of TDP-43^{Wild-Type}, TDP-43^{Q331K-Low}, TDP-43^{Q331K}, and TDP-43^{M337V} mice was followed during aging. Motor performance (on an accelerating rotarod) was normal at the earliest time points analyzed in each of the mutant TDP-43-expressing lines (Fig. 2A and B). In contrast with nontransgenic and TDP-43^{Wild-Type} mice, all three mutant TDP-43 lines developed significant age-dependent motor deficits by 10 mo of age, with the most severe deficit and earliest onset (3 mo) in the higher expressing TDP-43^{Q331K} line (Fig. 2B). A significant decrease in hindlimb grip strength by 10 mo of age accompanied age-dependent motor impairment in TDP-43^{Q331K} animals (Fig. 2C). Analysis of animals at later time points (e.g., after 17 mo of age) showed no further exacerbation of motor impairment (Fig. S3A), indicating a window of adult onset, active degeneration up to 10 mo of age, after which there is little further worsening of motor phenotype despite continued transgene accumulation in the remaining motor neurons and surrounding glia (see, for example, below and Fig. 5).

To determine whether the motor deficits and hindlimb weakness observed in TDP-43^{Q331K} transgenic mice were accompanied by neuromuscular abnormalities similar to those clinically observed in human ALS, we performed electrophysiological analyses on TDP-43^{Wild-Type} and TDP-43^{Q331K} transgenic mice (Fig. 3A). Resting electromyographic (EMG) recordings were obtained from the gastrocnemius muscle in the absence of any stimulus (in isoflurane-anesthetized animals). As expected, in SOD1^{G37R} symptomatic mice that will go on to develop fatal paralytic disease, high-frequency spontaneous firings of the motor units (fibrillations) were recorded (Fig. 3B, v), consistent with the known widespread denervation. Recordings in TDP-43^{Q331K} mice (Fig. 3B, iii) revealed the presence of muscle fibrillations similar to those observed in presymptomatic SOD1^{G37R} mice (Fig. 3B, iv), indicating neuromuscular denervation and motor unit degeneration and regeneration. These spontaneous firings were found only in the presence of mutant TDP-43-dependent mice, as recordings in nontransgenic and expression matched TDP-43^{Wild-Type} mice (Fig. 3B, i and ii) lacked such spontaneous EMG activity.

Because both upper and lower motor neuron deficits can develop in ALS patients, we tested if the spontaneous EMG activity associated with neuromuscular denervation observed in TDP-43^{Q331K} mice was accompanied by upper motor neuron deficits. To this end, myogenic motor evoked potentials (MMEPs), which report a measure of connectivity of the entire neuromuscular unit, including the motor cortex, interneurons, α -motoneurons, and the neuromuscular junction, were recorded from the gas-

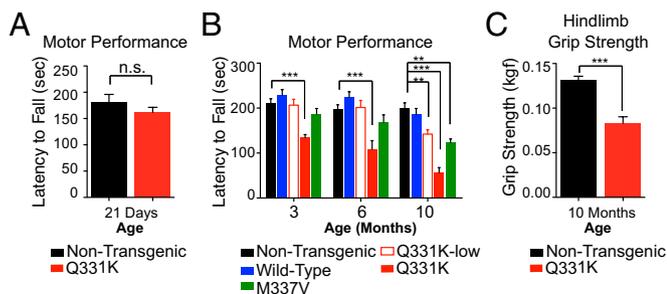


Fig. 2. TDP-43^{Q331K} and TDP-43^{M337V} mice develop age-dependent, progressive motor deficits. (A and B) Motor deficits measured by rotarod. $n \geq 11$ for each genotype and each time point. $**P < 0.01$ and $***P < 0.001$ using one-way ANOVA at each time point with Bonferroni's post hoc test. (C) The 10- to 12-mo-old TDP-43^{Q331K} high-expressing animals developed hindlimb weakness as measured by a hindlimb grip-strength assay ($P = 0.0002$ by Student's t test). Data shown are the average \pm SEM. $n = 12$ per genotype.

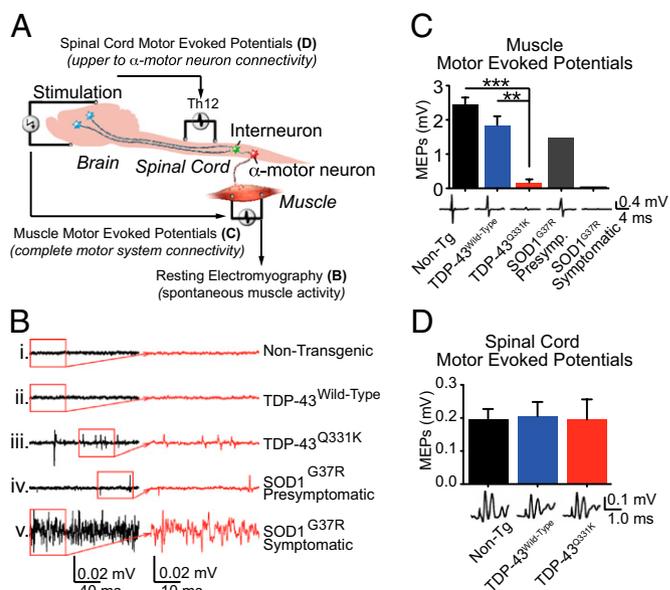


Fig. 3. Electrophysiological measures identify lower motor neuron deficits in aged mutant-expressing TDP-43 mice. (A) Schematic of measurement of MEPs elicited by electrical stimulation of motor cortex and extrapyramidal system, with responses recorded from the exposed T12 spinal segments (spinal cord surface MEPs) or from the gastrocnemius muscle (MMEPs). (B) Resting EMG recording from the gastrocnemius muscle in isoflurane-anesthetized animals in the absence of any stimulus. (C) MMEP recordings from the gastrocnemius muscle. $**P < 0.01$, $***P < 0.001$ by Student's t test. (D) Spinal cord surface MEP recordings in nontransgenic, TDP-43^{Wild-Type} and TDP-43^{Q331K} mice.

trocnemius muscle after electrical stimulation of the motor cortex (Fig. 3C). Use of this measure in SOD1^{G37R} mice revealed that, as expected, MMEPs were decreased (from ~ 2.4 mV in nontransgenic animals) to 1.5 mV at presymptomatic stages, and were almost completely lost in symptomatic SOD1^{G37R} mice (0.03 mV). TDP-43^{Q331K} transgenic mice also developed significant decreases (to 0.18 mV; $n = 3$) in MMEP amplitude (Fig. 3C) compared with nontransgenic (2.4 mV; $n = 4$) and TDP-43^{Wild-Type} transgenic mice (1.8 mV; $n = 3$), indicating a disruption of the neuromuscular unit.

To determine whether the decrease in MMEPs reported above involved disruption of the connectivity between the upper and lower motor neurons, spinal cord motor-evoked potentials (MEPs) were then recorded from the dorsal surface of an exposed thoracic (T12) segment after electrical stimulation of the motor cortex. MEPs consist of multiple waves, with the two earliest peaks (N1, N2) corresponding to the activation of the extrapyramidal system. No significant differences were found in the amplitude of the N1 wave when comparing nontransgenic (0.20 mV; $n = 4$), TDP-43^{Wild-Type} (0.21 mV; $n = 5$), and TDP-43^{Q331K} transgenic mice (0.20 mV; $n = 4$) (Fig. 3D). Quantification of immunofluorescently labeled Ctip2⁺ upper motor neurons in cortex layer V in TDP-43^{Q331K} transgenic animals showed no loss of upper motor neurons, consistent with the retention of upper motor neuron function indicated by the persistence of cortical MEPs (Fig. S4A). Therefore, spontaneous muscle firing recorded using EMGs, accompanied by decreased MMEP amplitudes, must reflect motor dysfunction associated primarily with lower motor neuron degeneration in TDP-43^{Q331K} mice.

TDP-43^{Q331K} Transgenic Mice Develop Age-Dependent Motor Neuron Loss and Motor Axon Degeneration. Having observed the development of motor deficits and electrophysiological abnormalities, we examined the central nervous system for signs of neurodegeneration.

Immunofluorescent staining for the astrocytic marker GFAP and the microglial marker Iba-1 revealed immunoreactive astrocytes and infiltrating microglia (Fig. S4B) in the ventral horn of the spinal cord in 10- to 12-mo-old TDP-43^{Q331K} transgenic mice. Consistent with the initial identification of the Q331K mutation in an ALS patient with classic lower motor-neuron involvement (6), quantification of choline acetyl-transferase (ChAT)-positive lower motor neurons in lumbar spinal cords from TDP-43^{Q331K} mice revealed a significant, age-dependent decrease ($P = 0.04$, $n = 3$) in motor neuron number. Loss of motor neurons initiated before 2 mo of age and continued until about 12 mo of age, yielding a reduction of ~35% compared with age-matched nontransgenic or TDP-43^{Wild-Type} transgenic animals (Fig. 4A). Additionally, the milder motor deficits seen by 10–12 mo of age in both TDP-43^{Q331K-Low} and TDP-43^{M337V} mice were accompanied by a trend in age-dependent reduction in ChAT-positive motor neurons compared with nontransgenic or TDP-43^{Wild-Type} transgenic animals in the ventral lumbar horns at 10–12 mo of age (Fig. 4A).

Quantification of axons remaining in the fifth lumbar (L5) roots of TDP-43^{Q331K} transgenic mice revealed a significant reduction (623 vs. 923 in nontransgenic, $***P < 0.001$) of total

motor axons by 10–12 mo of age (Fig. 4B), with the most significant reduction in large caliber α -motor axons ($>3.5 \mu\text{m}$) that innervate muscle (50) (Fig. 4C). Morphological examination of the remaining motor axons revealed the presence of degenerating axons, characterized by the appearance of vacuolization and myelin defects (Fig. 4D). Similar to what was demonstrated behaviorally, there was no further loss of L5 motor axons at 20 mo of age (Fig. S3 B–D), indicating a period of active degeneration up to 10 mo of age.

We therefore focused our remaining analyses within this disease period. Quantification of postsynaptic neuromuscular junctions using α -bungarotoxin staining of the gastrocnemius muscle revealed a significant reduction (30%; $P = 0.02$) in the number of neuromuscular junction endplates in of TDP-43^{Q331K} mice (Fig. 4E). In contrast, TDP-43^{Q331K-Low} and TDP-43^{M337V} transgenic mice displayed numbers of α -bungarotoxin-positive neuromuscular junction endplates that were not significantly different from nontransgenic or TDP-43^{Wild-Type} animals, consistent with functional deficits without overt structural changes in lower motor neuron synapses. Morphological examination of hematoxylin and eosin (H&E)-stained sections of gastrocnemius

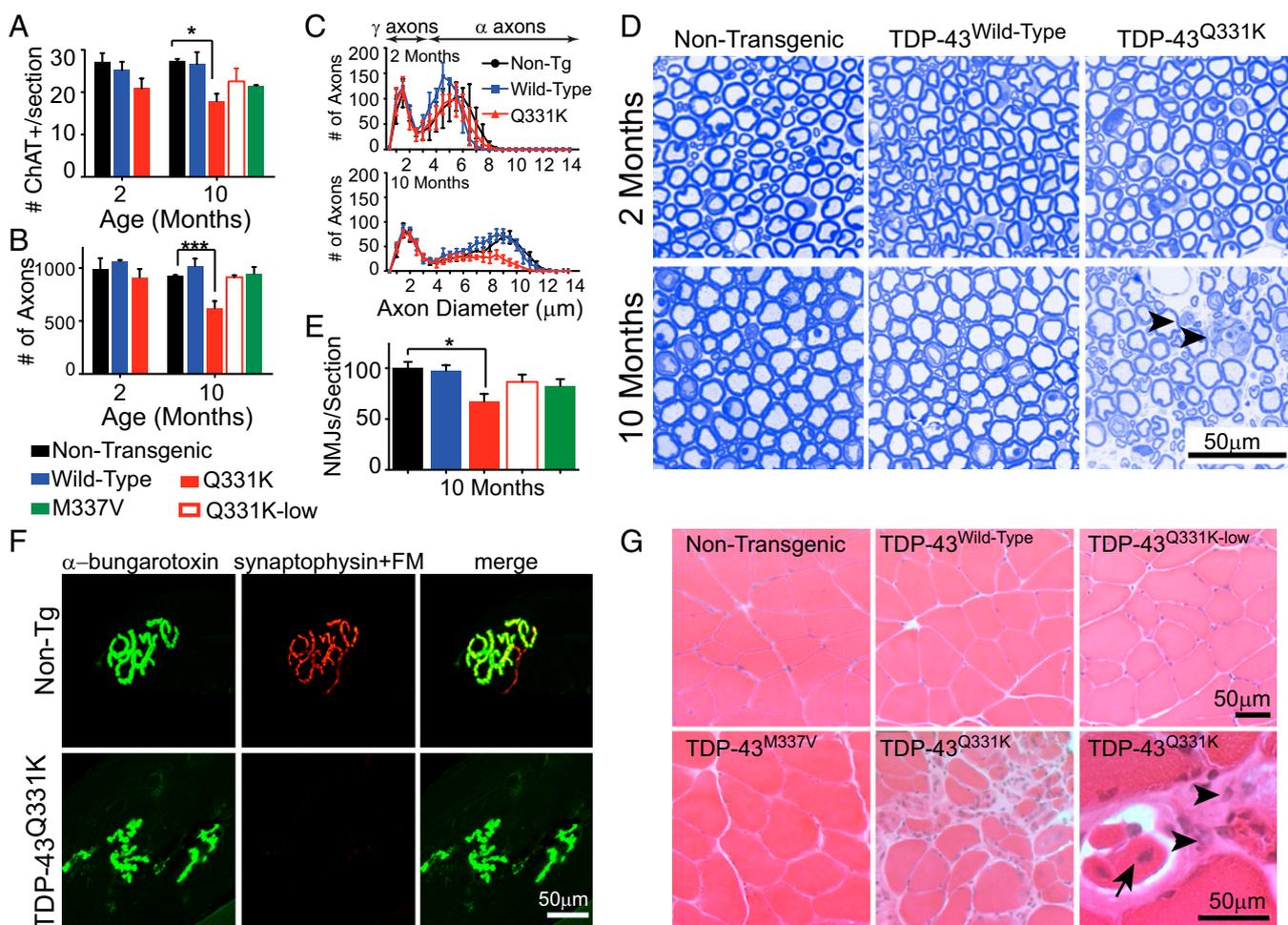


Fig. 4. TDP-43^{Q331K} transgenic mice develop age-dependent lower motor neuron degeneration. (A) Quantification of ChAT-positive α -motor neurons in lumbar spinal cords from TDP-43 transgenic mice (average \pm SD, $n = 3$ per genotype per time point; $*P = 0.04$). (B) Quantification of motor axons shown in *D* (at 10–12 mo of age, $n \geq 3$ per genotype per time point; $***P < 0.001$). (C) Distributions of diameters of L5 motor axons determined from images in *D*. (D) Representative images of the L5 motor axon roots from TDP-43^{Wild-Type} or TDP-43^{Q331K} transgenic animals stained with Toluidine blue. (arrowheads) Degenerating axons in TDP-43^{Q331K} mice. (E) Quantification of average neuromuscular junctions per section in 10- to 12-mo-old TDP-43 transgenic animals (\pm SEM; $*P = 0.02$, $n \geq 3$ per genotype). (F) Representative neuromuscular junctions from nontransgenic and TDP-43^{Q331K}-expressing animals. FM, fluoromyelin. (G) Hematoxylin and eosin staining of gastrocnemius muscles. (arrowheads) Atrophied fibers of varying sizes; (arrows) regenerating fibers with centralized nuclei. All statistics performed using one-way ANOVA with Bonferroni's post hoc test.

muscle from 10-mo-old TDP-43^{Q331K} animals revealed regions of damaged muscle fibers and regions of regeneration characterized by the appearance of centralized nuclei (Fig. 4G). TDP-43^{Wild-Type}, TDP-43^{Q331K-low} and TDP-43^{M337V} animals lacked similar morphological abnormalities in the muscle fibers. Additionally, neuromuscular junctions in TDP-43^{Q331K} mice appeared malformed with an abnormal bleb-like appearance (Fig. 4F). Examination of the descending corticospinal tracts in the dorsal and lateral columns of the spinal cords revealed minor degeneration in all mutant-expressing lines, including TDP-43^{Q331K-Low} and TDP-43^{M337V}, suggesting that degeneration of other regions of the motor circuit is responsible for the phenotypes observed in the low mutant-expressing lines.

Cytoplasmic Mislocalization of TDP-43 Is Not Required for the Development of Motor Neuron Disease. A primary pathological feature in ALS patients is the accumulation of cytoplasmic, ubiquitinated and insoluble TDP-43-containing aggregates within the neurons of the nervous system (4). To test whether the development of motor neuron disease was accompanied by a similar alteration in TDP-43 localization, we first performed nuclear and cytoplasmic fractionation of whole spinal cord and brain from 10- to 12-mo-old TDP-43 transgenic mice (Fig. 5A). Immunoblotting for mouse and human TDP-43 in enriched fractions from either cytoplasm (Fig. 5B, *i* and *iii*) or nuclei (Fig. 5B, *ii* and *iv*) derived from cortex or spinal cord revealed that the majority of human TDP-43 remained in the nuclear fraction, just as it did in nontransgenic animals. Examination of longer exposures revealed a similarly small proportion of both endogenous mouse and human TDP-43 fractionated within the cytosol.

Immunofluorescent staining in lumbar spinal cord sections of TDP-43^{Q331K} mice from 2 to 10 mo of age revealed that the majority of mutant TDP-43 remained nuclear (Fig. 5C). Additionally, analysis of sequential biochemical extraction using high-salt and urea buffers

revealed that the majority of both mouse and human TDP-43 was extracted in the high-salt soluble fraction (Fig. S5B and C). Analysis for nontransgenic and all transgenic lines of a final extraction of the nuclear pellets with SDS demonstrated that only a small fraction (Fig. S5D) of human and endogenous mouse TDP-43 was in an initially insoluble form that could be solubilized with SDS.

Widespread Splicing Changes from Altered TDP-43 Levels Within the Central Nervous System. To evaluate if normal patterns of alternative splicing were disrupted upon reduction of mouse TDP-43 and its replacement with wild-type or mutant human TDP-43 at or above the level of endogenous TDP-43 in normal mice, RNA extracted from cortices of 2-mo-old mice were examined using Affymetrix splicing-sensitive microarrays (Fig. 6A). Analysis of splicing changes in cortices of TDP-43^{Wild-Type}, TDP-43^{Q331K}, and TDP-43^{Q331K-Low} revealed that 824, 1,195, and 208 exons, respectively, were differentially spliced relative to mRNA from nontransgenic mice, using a statistical threshold that captured as many significant changes as possible (absolute separation score of <0.3) (51, 52) (Fig. 6A).

We focused on the largest represented class of alternative splicing events, namely cassette exons (i.e., exons that can be included or excluded in the final mRNA) (white slice of pie charts in Fig. 6A). For comparison, we reanalyzed our previous splicing array data (11) using a consistent threshold (absolute separation score of <0.3), identifying 737 cassette exons that were alternatively spliced upon depletion of endogenous mouse TDP-43 in the central nervous system (Fig. S6). These exons, the abundance of which is normally regulated by TDP-43 levels, were then compared with the 314, 533, and 97 cassette exons that were misregulated in human TDP-43^{Wild-Type}, TDP-43^{Q331K}, and TDP-43^{Q331K-Low} transgenic animals.

To determine if misregulated exons were associated with endogenous TDP-43 binding [as measured by cross-linking and

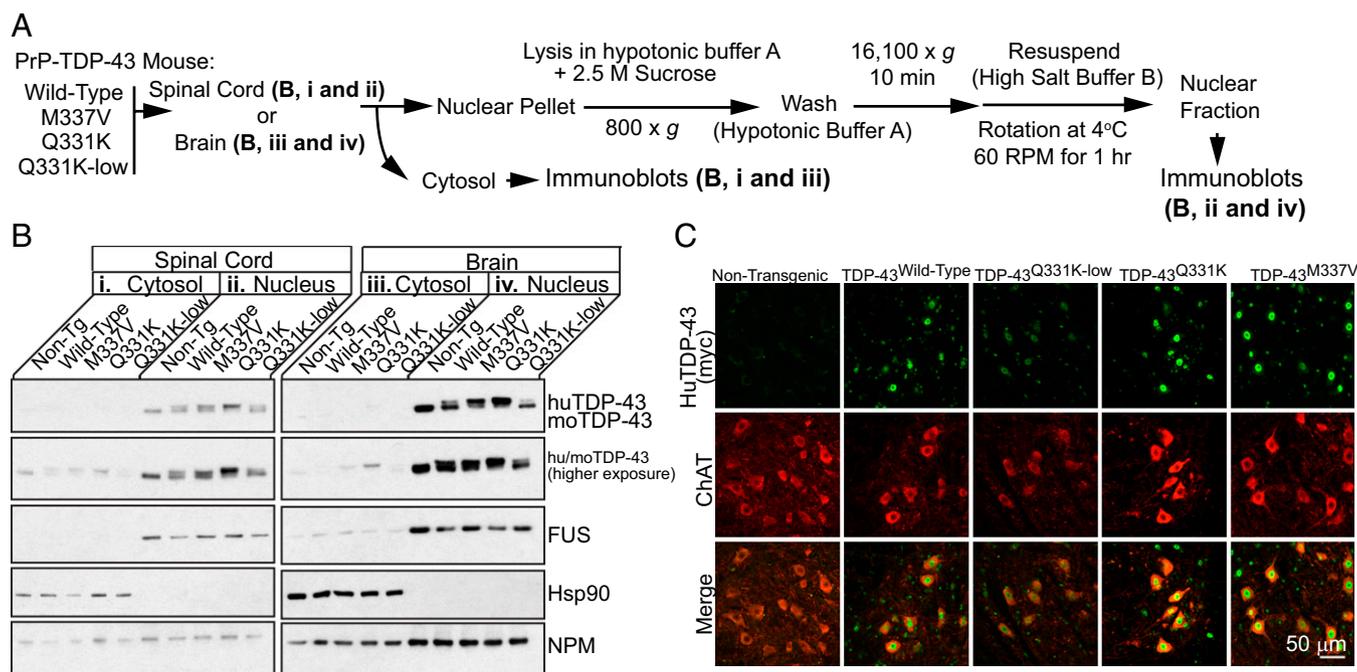


Fig. 5. Neither wild-type nor mutant TDP-43 show aberrant cytosolic localization in the brain and spinal cords of TDP-43 transgenic mice. (A) Experimental scheme for the nuclear and cytosolic fractionation of spinal cords (B, *i* and *ii*) or brains (B, *iii* and *iv*) of nontransgenic and TDP-43 transgenic mice. (B) Immunoblotting of enriched cytosolic or nuclear extracts from the brain or spinal cord of 10- to 12-mo-old nontransgenic, wild-type, and mutant TDP-43 transgenic animals using an antibody recognizing both mouse and human TDP-43 with equal affinity. Note enrichment of Hsp90 in the cytosolic extract and FUS in the nuclear extract, indicating the efficiency of separation. (C) Immunofluorescent localization of endogenous ChAT and transgene-encoded TDP-43 in 10-mo-old TDP-43 transgenic animals.

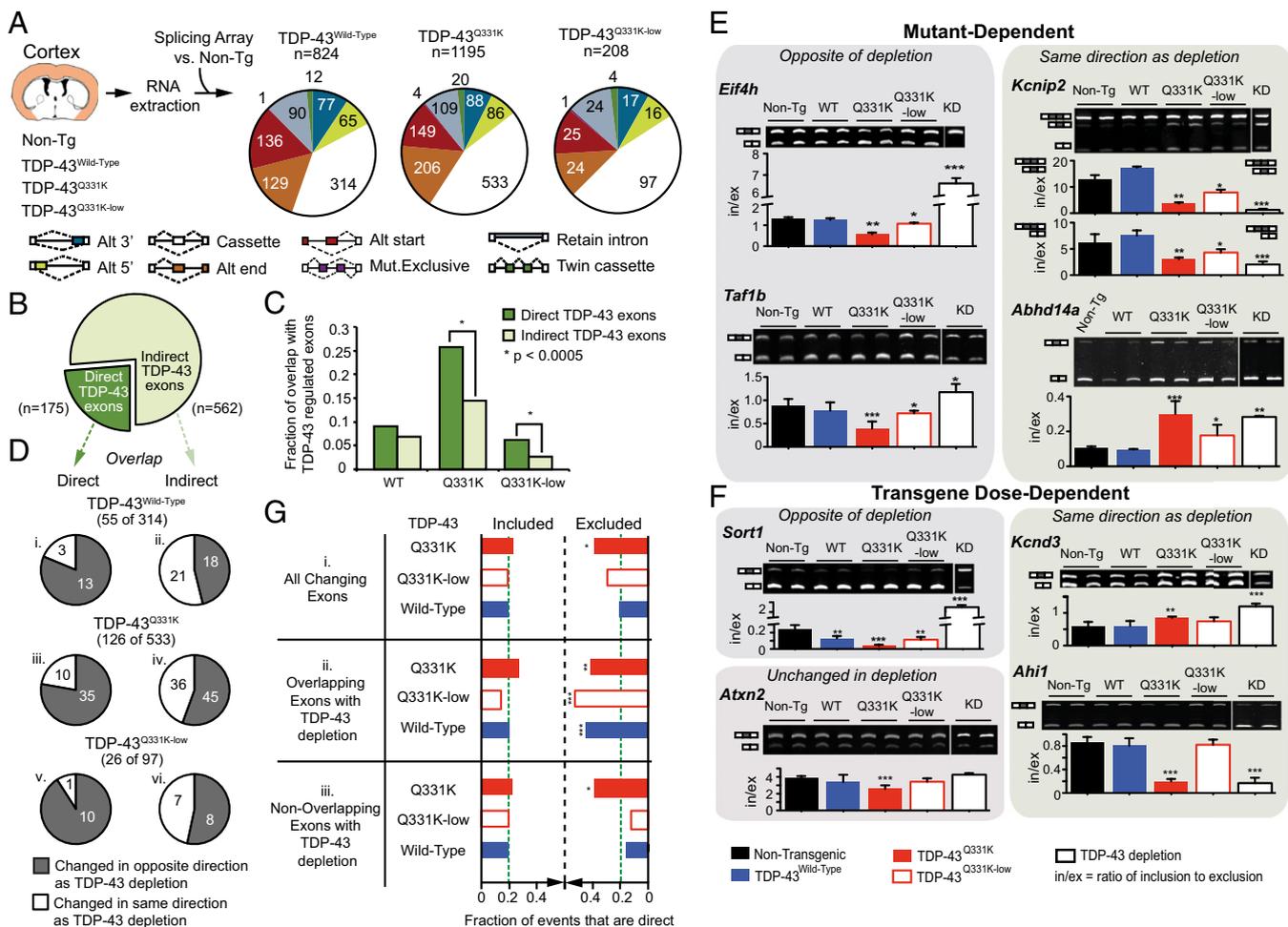


Fig. 6. Both enhanced activity and loss-of-function for specific RNA splicing targets directly bound by TDP-43^{Q331K}. (A) Experimental strategy to identify differentially regulated splicing events using Affymetrix splicing-sensitive microarrays to analyze RNAs extracted from cortices of 2-mo-old nontransgenic, TDP-43^{Wild-Type}, TDP-43^{Q331K}, or TDP-43^{Q331K-Low} transgenic mice. (B) TDP-43-regulated cassette exons identified from TDP-43 depletion experiments in mouse (11). Cassette exons are divided into direct targets, bound by TDP-43 within 2 kb, and indirect targets, not bound by TDP-43. (C) Bar plot displaying the fraction of overlap of TDP-43-regulated exons, direct (dark green) and indirect (light green), with exons that changed upon expression of TDP-43^{Wild-Type}, TDP-43^{Q331K}, and TDP-43^{Q331K-low}. The TDP-43^{Q331K} and TDP-43^{Q331K-low} overlapping exons show a significant enrichment (by χ^2 analysis: $P < 0.0005$) in the fraction that are direct TDP-43-regulated exons compared with the fraction that are indirect TDP-43-regulated exons. No enrichment was found in TDP-43^{Wild-Type}. (D) Overlap of significantly changed cassette exons observed in the cortices from TDP-43 transgenic mice with TDP-43-regulated cassette exons. Direct and indirect exons that are also regulated in TDP-43 transgenic mice are represented as exons that are changed in the opposite (colored gray) or same (included or excluded in both, colored white) direction of TDP-43 depletion. (E and F) RT-PCR validation for a subset of alternate cassette exons identified in cortex by splicing-sensitive microarrays and that are (E) mutant-dependent or (F) transgene dose-dependent and that change in the same or opposite direction following knockdown (KD) in TDP-43 following antisense oligonucleotide infusion within striatum. Bar plots show the ratio of inclusion to exclusion calculated from the mean intensities ($n \geq 3$ biological replicates, \pm SD). Representative gel analyses are images shown with duplicate biological replicates. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$ with Student's *t* test; in/ex, ratio of inclusion to exclusion. (G) Bar graph depicting the percentages of differentially included or excluded exons upon human TDP-43 expression (green dashed line). The fraction of unchanged exons in any cortex experiment (TDP-43^{Wild-Type}, TDP-43^{Q331K}, TDP-43^{Q331K-low}) that have TDP-43 binding. (i) "All Changing Exons" includes all exons that were either included or excluded (25% of these were direct TDP-43 targets). (ii) "Overlapping Exons with TDP-43 KD" includes only exons that changed following TDP-43 depletion (i.e., exons regulated by endogenous TDP-43). A significant increase was found in the percentage of direct targets (~43% for TDP-43^{Q331K} and TDP-43^{Wild-Type}, and 53% for TDP-43^{Q331K-low}) for cassette exons that are excluded upon human TDP-43 expression, with only a modest ~20% of included exons that are direct targets. (iii) "Non-overlapping exons with TDP-43 KD" includes only exons not previously shown to be regulated by endogenous TDP-43 depletion, in which 39% of excluded exons in the TDP-43^{Q331K} animals were direct targets.

immunoprecipitation followed by deep sequencing (11), we separated the 737 exons into "direct" (175, which contained TDP-43 binding sites within 2 kb of the exon) and "indirect" (562 without TDP-43 binding sites near the exon) targets (Fig. 6B). Notably, when comparing the percentages of direct and indirect targets that overlapped with TDP-43^{Q331K}-dependent misregulated exons, we observed a statistically significant ($P < 0.0005$ by χ^2 analysis) 1.8-fold enrichment of direct targets [26% (45/175)] compared with indirect ones [14% (81/562)]. A similar (2.4-fold) enrichment was seen for altered splicing of direct targets in

TDP-43^{Q331K-Low} [6% of direct targets (11/175) compared with 2.6% of indirect targets (15/562)]. No significant enrichment was seen in a similar comparison for TDP-43^{Wild-Type} [9% (16/175) to 7% (39/562)] (Fig. 6C). Thus, the human mutant transgenes preferentially affect direct, endogenous TDP-43-dependent alternative splicing events.

Mutant TDP-43 Retention or Enhancement of TDP-43 Function in Splicing of Most pre-mRNAs. To test whether the splicing changes for cassette exons reflected a loss or gain of normal TDP-43

function, we compared the directionality of exon inclusion when TDP-43 was depleted or in response to expression in mice of the transgene-encoded human TDP-43 (Fig. 6D). Of the cassette exon-splicing changes observed in the TDP-43 transgenic mice, we found that 55 of 314 in TDP-43^{Wild-Type} (Fig. 6D, *i* and *ii*), 126 of 533 in TDP-43^{Q331K} (Fig. 6D, *iii* and *iv*), and 26 of 97 TDP-43^{Q331K-Low} (Fig. 6D, *v* and *vi*) overlapped with cassette exon changes identified following TDP-43 depletion. For directly bound exon targets, the splicing of which was altered by expression of human TDP-43, the majority of the affected cassette exons overlapping with direct targets changed in a direction opposite to loss of TDP-43 [TDP-43^{Wild-Type} (13/16), TDP-43^{Q331K} (35/45), TDP-43^{Q331K-Low} (10/11)] (in gray, Fig. 6D, *i*, *iii*, and *v*).

Furthermore, at higher TDP-43^{Q331K} levels (and the correspondingly stronger reduction in endogenous mouse TDP-43), splicing of 35 of 45 directly bound cassette exons (Fig. 6D, *iii*) changed in a manner consistent with an elevated normal function of endogenous mouse TDP-43 (the opposite of TDP-43 depletion). In other words, these cassette exons, whose inclusion (or exclusion) was previously shown to be dependent on mouse TDP-43, were more included (or excluded) when human TDP-43^{Q331K} was expressed, consistent with this mutant retaining normal (or enhanced) TDP-43 activity for most pre-mRNAs.

Mutant TDP-43-Dependent Loss-of-Function for Splicing of Specific pre-mRNAs. Ten direct exon targets in TDP-43^{Q331K} mice were altered in a manner indicative of a reduction in endogenous TDP-43 function in facilitating the splicing of these TDP-43 target exons (Fig. 6D, *iii*). Exons whose splicing were reported altered by microarray analysis, as well as ones of biological interest but not represented on the array, were then analyzed by semi-quantitative RT-PCR (Fig. 6E and F, Fig. S7, and Table S1). To identify and validate splicing changes that are specifically affected by ALS-linked mutant TDP-43, we focused on changes occurring only in both TDP-43^{Q331K} and TDP-43^{Q331K-Low} transgenic mice, but not in TDP-43^{Wild-Type} mice (the accumulated transgene level of which is similar to that of TDP-43^{Q331K-Low} transgenic mice). Within these changes, the presence of TDP-43 mutant produced splicing changes in *Kcnip2*, *Abhd14a*, *Ctrnd1*, and *Atp2b1*, which mimicked reduction of endogenous TDP-43 activity (Fig. 6E, Fig. S7A, and Table S1), demonstrating a loss-of-normal function of human TDP-43^{Q331K}, which even at a high level of expression did not replace the endogenous mouse TDP-43 for the splicing of these exons.

Dose-Dependent Enhanced Splicing of Some pre-mRNAs by Human TDP-43. Within the exons that overlapped with those that changed upon TDP-43 depletion (Fig. 6D, *i*, *iii*, and *v*), we found some that primarily displayed a dose-dependent TDP-43^{Q331K} or TDP-43^{Wild-Type} pattern of splicing in the opposite direction to what occurs after TDP-43 depletion (Fig. 6F, *Sort1*, and Fig. S7B, *Ppm2c*, *AU040829*, and *Caly*, the exons of which were more excluded, in contrast to more included in TDP-43 depletion). Added to this finding, for other pre-mRNA targets, enhancement of normal TDP-43 function in splicing was seen specifically for TDP-43^{Q331K}, as demonstrated by dose-dependent splicing changes in a direction opposite what is seen with TDP-43 depletion (e.g., *Eif4h* and *Taf1b*, the exons of which were more excluded, in contrast to increased inclusion in TDP-43 depletion) (Fig. 6E and Table S1).

These findings for individual RNAs were supported globally by a genome-wide analysis of direct TDP-43 targets, the exons of which were included or excluded upon human TDP-43 expression (Fig. 6G). On average, ~20% of unaffected exons represented on the array were direct targets (green line in Fig. 6G). However, when focusing on alternatively changing cassette exons, we found an overall increase in the percentages of direct TDP-43 exon targets (~43%, TDP-43^{Q331K}, and TDP-43^{Wild-Type}; up to 53% in

TDP-43^{Q331K-Low}) that were excluded in the presence of the human TDP-43 protein, compared with only ~20% of the included exons (Fig. 6G, *ii*). Surprisingly, 39% of excluded exons in the TDP-43^{Q331K} animals not previously demonstrated as regulated by endogenous TDP-43 depletion were also direct targets (Fig. 6G, *iii*). That is, some exons that were unchanged following TDP-43 depletion displayed a dose-dependent pattern of splicing in the direction of enhanced exclusion in the presence of the human TDP-43 (Fig. 6F, *Atxn2*, Fig. S7C, *Zfp414*, and *Kcnj3*, the exons of which were more excluded, in contrast to no change upon TDP-43 depletion, and Table S1). This finding supports a hypothesis that certain exons, although bound by TDP-43, are only misregulated upon elevation of wild-type or mutant TDP-43, but are not altered upon its loss. In other words, elevation or enhancement of TDP-43 activity through dose or mutation produces aberrant splicing events separate from those resulting from loss of TDP-43.

Mutant-Specific Splicing Alterations Accompany Motor Neuron Disease Development. Having identified that the human transgenes cause both loss and enhancement of normal function, we focused on alternative splicing changes within the spinal cord, the tissue that develops the degenerative phenotype. RNA extracted from the spinal cords of 2-mo-old nontransgenic, TDP-43^{Wild-Type}, and TDP-43^{Q331K} animals before the onset of significant motor dysfunction were analyzed on the same splicing-sensitive microarrays (Fig. 7A). We identified 4,462 and 4,399 alternative splicing events in the TDP-43^{Wild-Type} and TDP-43^{Q331K} spinal cord conditions, respectively (Fig. 7A). Approximately 38% of changes in TDP-43^{Wild-Type} cortex (118) and 42% of changes observed in TDP-43^{Q331K} cortex (226) were also present in the spinal cord (Fig. 7B). Notably, with the exception of a cassette exon within the *Kctd9* gene, altered splicing of all 1,060 cassette exons that overlapped between spinal cord in TDP-43^{Wild-Type} and TDP-43^{Q331K} mice occurred in the same direction, demonstrating that at least for this subset of RNA targets, the mutant remains functionally similar to wild-type TDP-43 (Fig. 7C).

Use of semiquantitative RT-PCR validated a set of splicing changes that were consistent with those identified in the cortex (Fig. 7D and Table S1), again demonstrating that TDP-43^{Q331K} mutant confers both loss and retention of normal TDP-43 function. Furthermore, among the 419 splicing events unique in TDP-43^{Q331K} spinal cord were several RNAs, the encoded proteins of which are involved in neurological function and transmission (Table S2), including the synaptic cell-adhesion molecules neu-rexins 1 and 3 (*Nrxn1* and *Nrxn3*), protein phosphatase 3 (also known as calcineurin, *Ppp3ca*), and glutamate receptor 2 (*Gria2*, encoding GluR2), which has been proposed to modify motor neuron vulnerability to excitotoxicity (53–55). In contrast, the 394 events unique to the TDP-43^{Wild-Type} spinal cord condition did not include a similar representation from genes involved in neurological function.

Discussion

Although previous *in vivo* studies using transgenic rodent models (24–26, 28, 29, 31) have established that elevated levels of both wild-type and mutant TDP-43, or complete absence of wild-type TDP-43 (56), can be inherently toxic to neurons, it had not been determined (1) whether toxicity from high levels of TDP-43 (mutant or wild-type) reflects the toxicity arising in disease pathogenesis in ALS or FTL, where TDP-43 is expressed at much lower levels (2), whether toxicity is mediated through a loss of TDP-43 function or a gain of aberrant toxic property, and (3) whether mutations in TDP-43 affect normal TDP-43 function in RNA splicing.

Our generation and analysis of mice expressing wild-type or ALS-linked mutants in TDP-43 at moderate levels (1–1.5× the normal level of endogenous mouse TDP-43) has revealed that

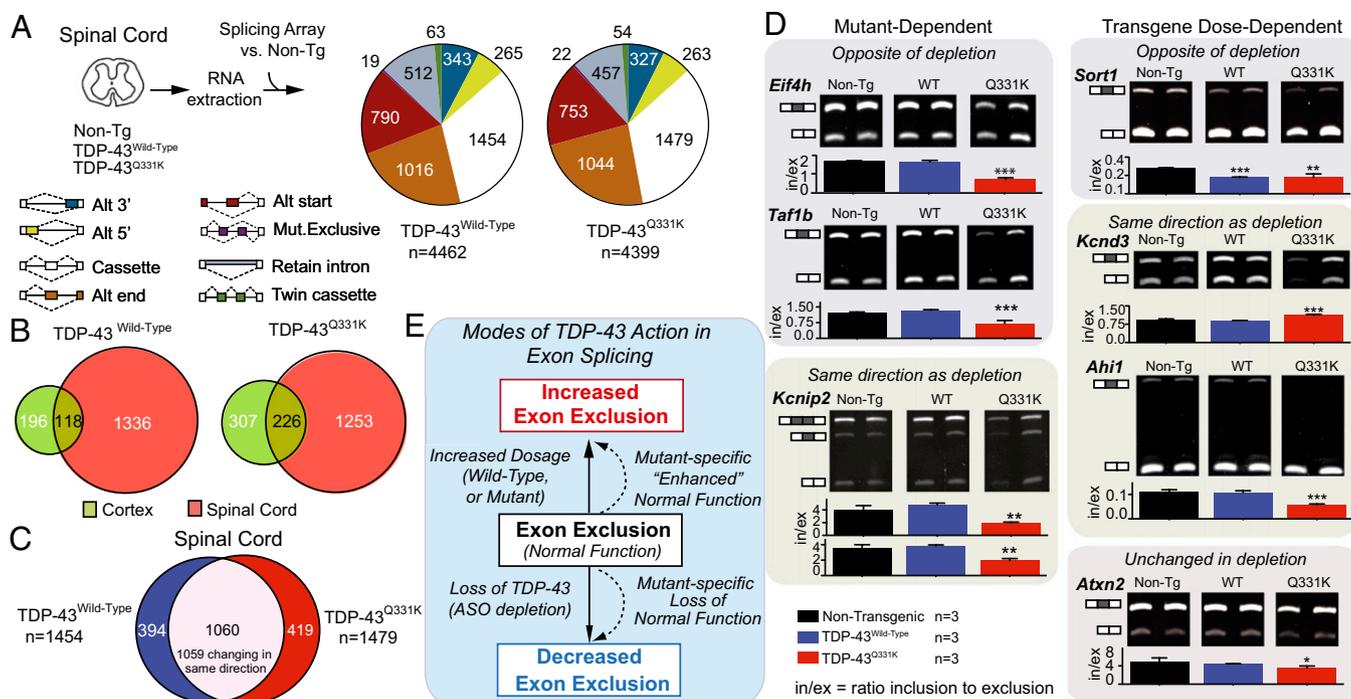


Fig. 7. Unique splicing alterations in the spinal cord of TDP-43^{Q331K} mice include changes in genes involved in neurological function and transmission. (A) Experimental strategy to identify differentially regulated splicing events in spinal cords of 2-mo-old nontransgenic, TDP-43^{Wild-Type}, or TDP-43^{Q331K} transgenic mice. Pie charts display total alternative splicing events significantly altered in the spinal cords of transgenic mice, relative to nontransgenic animals (colors representing the types of events on the array, defined at the right). (B) Diagram showing overlap between events changing in cortex and spinal cords of TDP-43^{Wild-Type} and TDP-43^{Q331K} animals. (C) Overlap of spinal cord TDP-43^{Wild-Type} and TDP-43^{Q331K} alternative cassette exons reveals a set of 1,060 common splicing events, 1,059 of which change in the same direction. (D) RT-PCR validation for a subset of spinal cord alternate cassette exons identified in A. Bar plots show mean intensities of $n \geq 3$ biological replicates (\pm SD). Representative gel images shown, with duplicate biological replicates. (E) Modes of TDP-43 action in exon splicing. * $P < 0.05$, ** $P < 0.01$, and *** $P < 0.001$ with Student's t test.

mutant TDP-43 can provoke lower motor neuron disease in a mutant-dependent, age-dependent, dose-dependent manner. Furthermore, toxicity proceeds: (i) with loss of endogenous TDP-43 (the abundance of which is reduced by TDP-43 autoregulation), (ii) without loss of nuclear human TDP-43, (iii) without mutant TDP-43 redistribution or aggregation within the nucleus or cytoplasm, and (iv) without accumulation of a truncated portion of mutant TDP-43. Our findings are supported by evidence from a prior study (27), wherein neurodegeneration correlated not with cytoplasmic accumulation of human TDP-43 but rather with nuclear loss (from autoregulation) of endogenous mouse TDP-43 in the presence of either wild-type human TDP-43 or a TDP-43 variant not found in human disease but containing a mutation in a residue of its nuclear localization sequence.

Through expression of a moderate amount of human wild-type or mutant TDP-43 in the central nervous system in a pattern that mimics endogenous TDP-43 within neuronal and glial cells, we have demonstrated a mutant TDP-43-dependent contribution to adult-onset motor deficits. Both TDP-43^{Q331K-low} and TDP-43^{M337V} mice developed motor deficits by 10 mo of age, albeit without further exacerbation of the disease at later ages, whereas mice expressing comparable levels of human wild-type TDP-43 remained phenotypically normal. Furthermore, this motor phenotype was dose-dependent in relation to the amount of human TDP-43, as animals expressing a higher proportion of TDP-43^{Q331K} (and correspondingly lower amount of mouse TDP-43) developed much more severe motor deficits, electrophysiological abnormalities characteristic of lower motor neuron disease, and loss of ~35–40% of lower motor neurons and axons. Thus, motor neuron disease can initiate without robust overexpression of human TDP-43. Additionally, analysis of these mice has demonstrated that, despite

the development of lower motor neuron disease, these mice lack significant alterations in either the biochemical solubility or nuclear localization of human and mouse TDP-43, contrary to what has been reported in human patients. Taken together, these data demonstrate that neither insoluble TDP-43 species nor the abnormal accumulation of cytosolic or nuclear TDP-43 is required for the development of lower motor neuron disease.

Rather, systematic genome-wide splicing arrays and computational analyses have revealed that nearly complete replacement of endogenous TDP-43 with human TDP-43 is accompanied by widespread changes in alternative pre-mRNA splicing, with some changes reflecting an “enhancement of normal function” exacerbated by increased accumulation of TDP-43. Furthermore, we have found that a small subset of splicing changes is uniquely dependent on the TDP-43^{Q331K} mutant protein. Within these mutant-dependent changes, splicing alterations include an enhancement of normal function, but others are characteristic of TDP-43 loss-of-function. Hence, the TDP-43^{Q331K} mutant confers both gain- and loss-of-function properties, both of which are associated with mutant-dependent motor neuron disease. Additionally, the high overlap in splicing alterations in both TDP-43^{Wild-Type} and TDP-43^{Q331K} spinal cord further emphasizes that the TDP-43^{Q331K} mutant remains functionally similar to its wild-type counterpart. Nevertheless, the TDP-43^{Q331K} mutation also produces a subset of unique splicing alterations within the spinal cord, specifically in genes involved in neurological function.

The dependency of some splicing events solely on the level of human TDP-43, wild-type or mutant (e.g., *Sort1*), highlights a sensitivity in TDP-43-dependent splicing to levels of accumulated TDP-43 protein. Depletion of endogenous mouse TDP-43 has been demonstrated to have widespread effects on both

splicing and levels of mRNAs in the central nervous system (11). Our work herein demonstrates that an increase in accumulated TDP-43 protein affects splicing just as broadly, results consistent with TDP-43's previously observed role in exon repression in the nervous system (11). Taking these data together, we propose that maintenance of a homeostatic level of TDP-43 protein is critical for its splicing function in the central nervous system, and that disruption of this level produces widespread aberrant alternative splicing events.

Although it has been demonstrated that TDP-43 itself is intrinsically aggregation-prone (57) and contains prion-like properties within its C terminus (58–60), we have found that motor neuron disease develops in the TDP-43 mutant-expressing mice without detectable TDP-43 aggregation. Furthermore, the TDP-43 C terminus has been proposed by others to play a role in association with other proteins in splicing of target genes (16–18, 61), although the contribution of mutations in the C terminus to the disruption of TDP-43 splicing function was not well understood. Indeed, a study using a human cell-culture model expressing TDP-43^{Q331K} and TDP-43^{M337V} found that mutations in the TDP-43 C terminus do not alter the composition of complexes of known TDP-43-interacting hnRNPs, nor the splicing of a cystic fibrosis transmembrane conductance regulator-based splicing reporter (17). In contrast, using a true *in vivo* context in mice that develop progressive motor neuron disease, we have found widespread splicing alterations in the adult mammalian central nervous system in the presence of nuclear, mutant TDP-43. Furthermore, these splicing alterations occur at an early disease-stage (2–3 mo of age). Thus, nuclear loss or cytoplasmic TDP-43 accumulation is not a requirement for the initiation of neurodegeneration.

Finally, our systematic splicing analysis enables us to propose a molecular model for human TDP-43 function in which it drives: (i) dosage-enhanced increases in exon exclusion of normal endogenous mouse TDP-43 targets, (ii) mutant-enhanced increases in exon exclusion of normal TDP-43 targets, and (iii) mutant-specific decreases in exon exclusion, because of a loss-of-function on normal TDP-43 targets (Fig. 7E). Taken together, the unique splicing changes in TDP-43^{Q331K} transgenic mice within genes in spinal cords could provide a molecular basis for selective vulnerability of motor neurons to such mutants.

Materials and Methods

Generation of Transgenic Mice Expressing Floxed Wild-Type and Mutant Human TDP-43. Flanking Sall digestion sites were inserted by PCR into cDNAs containing N-terminal myc-tagged full-length wild-type or mutant (Q331K or M337V) TDP-43 and cloned into the XhoI site of MoPrP.XhoI (ATCC #JHU-2). The resultant construct was digested upstream of the minimal PrP promoter and downstream of the final PrP exon 3, subcloned into a shuttle vector containing loxP flanking sites (Fig. 1A), and linearized using XhoI. The final construct was injected into the pronuclei of fertilized C57Bl6/C3H hybrid eggs and implanted into pseudopregnant female mice. Founder mice were then backcrossed to C57Bl6 to establish lines (mice used in this report were backcrossed to C57Bl6 for a minimum of four generations).

RT-qPCR and Immunoblotting. Total RNA from half of the mouse spinal cord was isolated using TRIzol (Invitrogen) extraction and mRNA levels were determined by RT-qPCR using the iQSYBR Green supermix (Bio-Rad). See details in *SI Materials and Methods*. The protein fraction from each TRIzol sample was immunoblotted (using nitrocellulose membranes) and bound proteins detected using antibodies to mouse and human TDP-43 (ProteinTech 12892, 1:1,000) or mouse GAPDH (AbCam clone 6C5, 1:20,000).

Immunohistochemistry. Tissue preparation for immunohistochemistry was performed as described previously (50). Next, 30- μ m free-floating sections were stained using standard protocols previously established in our laboratory with the indicated antibodies. See *SI Materials and Methods* for details. Confocal images were acquired on a Nikon Eclipse laser scanning confocal microscope using the Nikon EZ-C1 software.

Morphometric Analysis and Quantification of Motor Axons. Roots from lumbar level 5 of the spinal cord (L5) were dissected from three to four mice per genotype at 2 mo and 10–12 mo of age, and thin sections (0.75 μ m) were cut, stained with Toluidine blue, and quantified as previously described (50). L5 axons are reported as mean \pm SD.

Quantification of Upper and Lower Motor Neurons. ChAT-positive ventral horn motor neurons were counted from at least 30 sections per animal (minimum of three animals per genotype) at 2 and 12 mo of age and are reported as average \pm SD. Quantification of Ctip2⁺ neurons in cortex layer five from 10- to 12-mo-old animals was performed on six consecutive sections of frontal cortex (~2.34 mm Bregma), in the motor M1 region in an area of 0.08 mm² and are reported as the average number of motor neurons per square millimeter \pm SEM. Matching sections were chosen for each set of mice.

Quantification of Neuromuscular Junctions. A total of ~1,000 neuromuscular junctions were counted from at least 10 sections of gastrocnemius from 10- to 12-mo-old animals. To obtain the number of neuromuscular junctions per section, the average number of neuromuscular junctions was divided by the number of sections counted per animal, with three to four animals per genotype. The average number of neuromuscular junctions per section was reported as mean \pm SEM. Statistical analysis was performed using one-way ANOVA with Bonferroni's post hoc test.

Animal Behavior and Electrophysiology. These studies were carried out under protocols approved by the Institutional Animal Care and Use Committee of the University of California at San Diego and were in compliance with Association for Assessment of Laboratory Animal Care guidelines for animal use. All studies were performed in such a manner as to minimize group size and animal suffering. See details in *SI Materials and Methods*.

Nuclear-Cytosolic Fractionation. Nuclear-cytosolic fractionation of cortex and spinal cords from young and old mice was performed as described previously (26, 62). See *SI Materials and Methods* for details. Equivalent volumes were prepared for immunoblotting with the indicated antibodies.

Sequential Biochemical Fractionation. Sequential biochemical fractionation on cortex and spinal cord was performed as described previously (63). For detailed protocols, see *SI Materials and Methods*. Equivalent volumes of samples were separated on 4–12% Bis-Tris gradient gels for immunoblotting with the indicated antibodies.

Microarray. Microarray data analysis was performed as previously described (11). For each microarray condition, the log₂ ratio of skipping intensities to inclusion intensities was estimated using least-squares analysis. Significantly changing splicing events between TDP-43^{Q331K}, TDP-43^{Q331K-low}, TDP-43^{Wild-Type}, and control nontransgenic mice were identified using a *q*-value < 0.05 and an absolute separation score > 0.3.

RT-PCR Validation of Splicing Targets Identified by Microarray. RT-PCR was performed on cDNA generated from the cortex and spinal cords of 2-mo-old transgenic animals. See details in *SI Materials and Methods*. Gel imaging and quantification of the isoforms was performed using the Bio-Rad Chemidoc software. The intensity ratios between products including the cassette exon and skipping the cassette exon were averaged for a minimum of three biological replicates per genotype. Splicing alterations significantly different from the nontransgenic RNAs were determined with Student's *t* test (**P* < 0.05, ***P* < 0.01, and ****P* < 0.001).

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